Receipt #

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Takamitsu HIGUCHI et al.

Application No.:

09/963,457

Filed: September 27, 2001

Attn:

tn: Box Missing Parts

Docket No.:

110715

For:

SURFACE ACOUSTIC WAVE ELEMENT, FREQUENCY FILTER, FREQUENCY OSCILLATOR, ELECTRONIC CIRCUIT AND ELECTRONIC APPARATUS

RESPONSE TO NOTICE TO FILE MISSING PARTS WITH DECLARATION AND TRANSLATION

Director of the U.S. Patent and Trademark Office Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted (copy attached) mailed on October 24, 2001, submitted herewith are the executed Declaration of the inventors and, upon information and belief, an accurate translation of the application. Any specification attached to and referenced in the Declaration is a copy of the specification and any amendments thereto which were filed in the Office in order to obtain a filing date for the application.

	(Column 1)	(Column 2)	(Column 3)	
	CLAIMS			
	REMAINING	HIGHEST NO.		
	AFTER	PREVIOUSLY	PRESENT	
	TRANSLATION	PAID FOR	EXTRA	
TOTAL CLAIMS	*13 MINUS	**20	=0	
INDEP CLAIMS	*2 MINUS	***3	=0	
☐ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

	SMALL ENTITY			
		155		
	RATE	ADD'L FEE		
	x 9	\$		
	x 42	\$		
	+140	\$		
•		\$		

OTHER HEAVY				
SMALL ENTITY				
<u>OR</u>	D A TOTAL	ADD'L		
	RATE	FEE		
	x 18	\$		
	x 84	\$		
<u>or</u>	+280	\$		
•		\$		

OTHER THAN A

- * If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" in this space (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

Attached is our Check No. <u>126020</u> for ∑\$260.00 <u>__</u>\$195.00 (entitlement to small entity status is asserted) for the fee under 37 C.F.R. §1.16(e) and §1.17(k) and any excess claim fees noted above.

Also submitted herewith are $\underline{6}$ sheets of formal drawings (Figs 1-10) in compliance with 37 C.F.R. 1.84.

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notice to File Missing Parts. Examination and allowance of this application in due course are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,

Janfes'A'. Oliff Registration No. 27,075

Joel S. Armstrong Registration No. 36,430

JAO:JSA/kaf

Date: December 18, 2001

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE
AUTHORIZATION
Please grant any extension
necessary for entry;
Charge any fee due to our
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/963,457

09/27/2001

Takamitsu Higuchi

110715

25944 OLIFF & BERRIDGE, PLC P.O. BOX 19928 ALEXANDRIA, VA 22320



CONFIRMATION NO. 9551

FORMALITIES LETTER

OC00000006963750

Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
 - Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
 - The balance due by applicant is \$ 260.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice MUST be returned with the reply.

2/20/2001 BABRANA1 00000630 09963457

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE